

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY DOCKET NO: 563.2-5605		Serial No: 08/511,076	
				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3308	

REFERENCE DESIGNATION								U.S. PATENT DOCUMENTS	
EXAM'S INT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
✓	AA	4,553,545	11/19/1985	Maass et al.					
	AB	4,733,665	03/29/1988	Palmaz					
	AC	4,739,762	04/26/1988	Palmaz					
	AD	4,776,337	10/11/1988	Palmaz					
	AE	4,830,003	05/16/1989	Wolff et al.					
	AF	4,856,516	08/15/1989	Hillstead					
	AG	4,886,062	12/12/1989	Wiktor					
	AH	4,913,141	04/03/1990	Hillstead					
	AI	4,994,071	02/19/1991	MacGregor					
✓	AJ	5,019,090	05/28/1991	Pinchuk					
✓	AK	5,035,706	07/30/1991	Gianturco et al.					

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION								
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION			
					YES	NO		
✓ BA	0 606 165 A1	07/13/1994	EPO		X			
✓ BB	0 540 290 A2	05/05/1993	EPO		X			
✓ BC	0 364 787 B1	04/25/1990	EPO		X			
✓ BD	WO 94/17754	08/18/1994	PCT		X			
✓ BE	0 541 443 A1	05/12/1993	EPO		X			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
✓	CA	<i>Manufacturing Processes for Engineering Materials</i> , by Scrope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.
✓	CB	<i>A View of Vascular Stents</i> , by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, <u>CIRCULATION</u> , Vol. 79, No. 2, February 1989, pp. 445-457.
✓	CC	<i>The Self-Expanding Mesh Stent</i> , by Ulrich Sigwart, <u>SECTION IV</u> , Chapter 29, pp. 605-610.

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>10/2/95</i>
-----------------------------	--------------------------------

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM IPTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO: 563,2-5605		Serial No: 08/511,076	
APPLICANT: Brian J. Brown, Michael L. Davis				FILING DATE: August 3, 1995		Group: 3308	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S ENT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	5,059,211	10/22/1991	Stuck et al.			
	AB	5,102,417	04/07/1992	Palinaz			
	AC	5,104,404	04/14/1992	Wolff			
	AD	5,133,732	07/28/1992	Wiktor			
	AE	5,135,536	08/04/1992	Hillstead			
	AF	5,195,984	03/23/1993	Schaltz			
	AG	5,197,978	03/30/1993	Hess			
	AH	5,292,823	02/01/1994	Schwartz et al.			
	AI	5,292,331	03/08/1994	Boneau			
	AJ	5,304,200	04/19/1994	Spaulding			
VB	AK	5,449,373	09/12/1995	Pinchasik et al.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
VB	AL	6-4175	03/06/1994	Japan				
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
VB	AR		Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995.
	AS		ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421
	AT		Cambridge Dictionary of Science and Technology, Cambridge University Press, 128

EXAMINER <span style="float: right;">C. J. [Signature]</span>	DATE CONSIDERED <span style="float: right;">6/27/96</span>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

ATTY DOCKET NO: 563.2-5605

Serial No: 08/511,076

INFORMATION DISCLOSURE  
STATEMENT  
(Use several sheets if necessary)

APPLICANT: Brian J. Brown, Michael L. Davis

FILING DATE: August 3, 1995

Group: 3303

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	AA	3,657,744	04/25/1972	Ersek			
	AB	4,141,364	02/27/1979	Schulze			
	AC	4,681,110	07/21/1987	Wiktor			
	AD	4,693,721	09/15/1987	Ducheyne			
	AE	4,786,507	09/06/1988	Fischell et al.			
	AF	4,769,029	09/06/1988	Patel			
	AG	4,787,899	11/29/1988	Lazarus			
	AH	4,830,003	05/16/1989	Wolff et al.			
	AI	4,856,516	08/15/1989	Hillstead			
	AJ	4,922,905	05/08/1990	Strecker			
	AK	4,950,227	08/21/1990	Savin et al.			
	AL	4,950,258	08/21/1990	Kawai et al.			
	AM	4,994,071	02/19/1991	MacGregor			
	AN	5,015,253	05/14/1991	MacGregor			
	AO	5,037,392	08/06/1991	Hillstead			
	AP	5,064,435	11/12/1991	Porter			
	AQ	5,092,877	03/03/1992	Pinchuk			
	AR	5,104,399	04/14/1992	Lazarus			
	AS	5,103,415	04/28/1992	Pinchuk et al.			
	AT	5,108,417	04/28/1992	Sawyer			
	AU	5,122,154	06/16/1992	Rhodes			
	AV	5,139,480	08/18/1992	Hickle et al.			
	AW	5,147,385	09/15/1992	Beck et al.			
	AX	5,147,400	09/15/1992	Kaplan et al.			
	AY	5,158,548	10/27/1992	Lau et al.			

EXAMINER

DATE CONSIDERED

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FORM PTO-1449 (Modified)				ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3308	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
✓	AA	5,217,483	06/03/1993	Tower			
✓	AB	5,163,952	11/17/1992	Froix			
✓	AC	5,226,913	07/13/1993	Pinchuk			
✓	AD	5,282,824	02/01/1994	Gianurco			
✓	AE	5,344,425	09/06/1994	Sawyer			
✓	AF	5,354,308	10/11/1994	Simon et al.			
✓	AG	5,354,309	10/11/1994	Schnepp-Pesch et al.			
✓	AH	5,383,892	01/24/1995	Cardon et al.			
✓	AI	5,405,377	04/11/1995	Cragg			
✓	AJ	2,836,181	05/27/1958	J.S. Tapp			
✓	AK	3,509,883	05/05/1970	Dibelius			
✓	AL	3,526,228	09/01/1970	Lyng			
✓	AM	4,300,244	11/17/1981	Bokros			
✓	AN	4,319,363	03/16/1982	Ketharanathan			
✓	AO	4,441,215	04/10/1984	Kaster			
✓	AP	4,470,407	09/11/1984	Hussein			
✓	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			
✓	AR	4,740,207	04/26/1988	Kreamer			
✓	AS	4,760,849	08/02/1988	Kropf			
✓	AT	4,762,128	08/09/1988	Rosenbluth			
✓	AU	4,771,773	09/20/1988	Kropf			
✓	AV	4,795,458	01/03/1989	Regan			
✓	AW	4,820,298	04/11/1989	Leveen et al.			
✓	AX	4,877,030	10/31/1989	Beck et al.			
✓	AY	3,868,956	04/04/1975	Alfidi et al.			
EXAMINER <i>me</i>		DATE CONSIDERED <i>10/27/02</i>					
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified)  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-5605  APPLICANT: Brian J. Brown, Michael L. Davis  FILING DATE: August 3, 1995		Serial No: 08/511,076  Group: 3308		
REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	4,140,126	02/20/1979	Choudhury		
	AB	4,503,569	03/12/1985	Dotter		
	AC	4,512,338	04/23/1985	Balko et al.		
	AD	4,553,545	11/19/1985	Maass et al.		
	AE	4,560,374	12/24/1985	Hammerslag		
	AF	4,647,416	03/03/1987	Seiler, Jr. et al.		
	AG	4,649,922	03/17/1987	Wiktor		
	AH	4,655,771	04/07/1987	Wallsten		
	AI	4,665,918	05/19/1987	Garza et al.		
	AJ	4,795,458	01/03/1989	Regan		
	AK	4,800,882	01/31/1989	Gianurco		
	AL	4,848,343	07/18/1989	Wallsten et al.		
	AM	4,872,874	10/10/1989	Taheri		
	AN	4,878,906	11/07/1989	Lindemann et al.		
	AO	3,105,492	10/01/1963	Jeckel		
	AP	3,272,204	09/13/1966	Artandi et al.		
	AQ	3,490,975	01/20/1970	Lightwood et al.		
	AR	3,562,820	02/16/1971	Braun		
	AS	3,635,215	01/18/1972	Shea et al.		
	AT	3,771,526	11/13/1973	Rudle		
	AU	3,993,073	11/23/1976	Bergentz et al.		
	AV	4,078,167	03/07/1978	Banas et al.		
	AW	4,127,761	11/28/1978	Pauley et al.		
	AX	4,130,904	12/26/1978	Whalen		
VB	AY	4,164,045	09/14/1979	Bokros et al.		
EXAMINER		DATE CONSIDERED				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

FORM PTO-1449 (Modified)				ATTY DOCKET NO: S63.2-5605		Serial No: 03/511,076	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3308	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
Up	AA 4,214,587	07/29/1980	Sakura, Jr.				
	AB 4,313,231	02/02/1982	Koyamada				
	AC 4,425,908	01/17/1984	Simon				
	AD 4,501,264	02/26/1985	Rockey				
	AE 4,535,770	08/20/1985	Lemole				
	AF 4,580,568	04/08/1986	Gianurco				
	AG 4,597,389	07/01/1986	Ibrahim et al.				
	AH 4,655,776	04/07/1987	Lesinski				
	AI 4,795,453	01/03/1989	Regan				
	AJ 4,795,465	01/03/1989	Marten				
	AK 4,842,575	06/27/1989	Hoffman, Jr. et al.				
Up	AL 4,851,009	07/25/1989	Pinchuk				
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						
EXAMINER <i>8u</i>		DATE CONSIDERED <i>1/27/06</i>					
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: 563.2-5605 APPLICANT: Brian J. Brown, Michael L. Davis FILING DATE: August 3, 1995	Serial No: 08/511,076 Group: 3308
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
✓	CA	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.	
✓	CB	Self-expanding Stainless Steel Biliary Stents', By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.	
✓	CC	Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.	
✓	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™.	
✓	CE	Expandable Biliary Endoprostheses: An Experimental Study, By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.	
✓	CF	Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial', By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.	
✓	CG	Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications', Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.	
✓	CH	Brochure Entitled AVE MICRO STENT™, Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.	
✓	CI	Brochure Entitled MICRO STENT™, By Applied Vascular Engineering, Inc.	
✓	CJ		
✓	CK		
✓	CL		
✓	CM		
✓	CN		
✓	CO		
✓	CP		
✓	CQ		
EXAMINER <span style="float: right;">oe</span>		DATE CONSIDERED <span style="float: right;">11/27/86</span>	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			




# Electronic Information Disclosure Statement

## IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT

Application: \*09/197278\*  
 09/197278  
 Confirmation: 1094  
 Applicant(s): Brian Brown  
 Docket Number: 563.2-6769  
 Group Art Unit: 3731  
 Examiner: Vy Q. Bui  
 search string: ( 5609627 or 5716393 or 6231598 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5609627	1997-03-11	*5609627*	Goicoechea et al.	128	898
	P02	5716393	1998-02-10	*5716393*	Lindenberg et al.	623	1
	P03	6231598	2001-05-15	*6231598*	Berry et al.	623	1.15

### Remarks


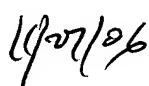
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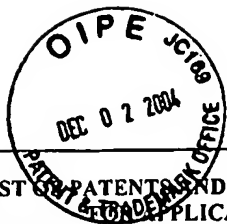
This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. section 1.97 (b) or otherwise because to the knowledge of the undersigned attorney it is being filed before the mailing date of a first Office Action after the filing of a request for continued examination (RCE) under section 1.114. Nevertheless, if any fee is due with the submission of this IDS, please charge



the additional fee to deposit account 22-0350. The instant application, filed November 20, 1998 (after the issuance of US 5716393, cited herein), claims priority as a continuation-in-part from an application filed August 3, 1995. On its face, US 5716393 is not prior art to the subject matter from the parent application. Nevertheless, out of an abundance of caution, Applicant requests that US 5716393 be treated as prior art as to all pending claims unless or until the Applicant confirms that he was unaware of the subject matter thereof prior to his invention date of the subject matter from the parent application.

### Signature

Examiner Name	Date
	



<b>LIST OF PATENTS AND PUBLICATIONS</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>ATTY DOCKET NO.:</b> S63.2N-6769-US04 <b>APPLICANT:</b> Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley, Sean P. Skubitz <b>FILING DATE:</b> December 5, 2003	<b>APPLICATION NO.:</b> 10/728513 <b>GROUP:</b> 3738
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REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
VJ	AA	6,042,597	3/28/00	Kveen et al.	606/198	
	AB	6,106,548	8/22/00	Roubin et al.	623/1.15	
	AC	6,132,460	10/17/00	Thompson	623/1.15	
	AD	6,193,747	2/27/01	von Oepen	623/1.15	
	AE	6,261,319	7/17/01	Kveen et al.	623/1.15	
	AF	6,358,274	3/19/02	Thompson	623/1.15	
	AG	6,471,720	10/29/02	Ehr et al.	623/1.15	
	AH	6,488,703	12/3/02	Kveen et al.	623/1.15	
	AI	6,520,987	2/18/03	Plante	623/1.16	
VJ	AJ	6,540,777	4/1/03	Stenzel	623/1.16	
	AK					
	AL					

FOREIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY
	BA			
	BB			
	BC			
	BD			
	BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)		
CA		
CB		
CC		

<b>EXAMINER</b> <i>[Signature]</i>	<b>DATE CONSIDERED</b> 11/27/08
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US04		APPLICATION NO. 10/728513	
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley, Sean P. Skubitz			
		FILING DATE: December 5, 2003		GROUP: 3738	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
<i>VB</i>	DA	60/076,946	3/5/98	Tseng et al.	
<i>VB</i>	DB	09/623,347	11/15/00	Tseng et al.	
<i>VB</i>	DC	10/474,848	12/29/03	Tseng et al.	
	DD				
	DE				
EXAMINER				DATE CONSIDERED	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US04		APPLICATION NO. 10/728513		
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley, Sean P. Skubitz				
		FILING DATE: December 5, 2003		GROUP: 3738		
US APPLICATIONS RELATED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
	EB					
	EC					
	ED					
	EE					
	EF					
EXAMINER <i>VB</i>				DATE CONSIDERED <i>4/27/06</i>		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		<b>ATTY DOCKET NO.:</b> S63.2B-6769-US		<b>APPLICATION NO.:</b> 09/197278	
(Use several sheets if necessary)		<b>APPLICANT:</b> Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
(Use several sheets if necessary)		<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE	
1/	AA 6,206,911	3/27/01	Milo	623/1.15		
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS			
DOCUMENT NUMBER	DATE	COUNTRY	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)		
CA		
CB		
CC		

<b>EXAMINER</b> <i>John</i>	<b>DATE CONSIDERED</b> 4/27/00
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		<b>ATTY DOCKET NO.:</b> S63.2B-6769-US		<b>APPLICATION NO.:</b> 09/197278	
(Use several sheets if necessary)		<b>APPLICANT:</b> Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
(Use several sheets if necessary)		<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE	
	AA					
	AB					
	AC					
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FOREIGN PATENT DOCUMENTS			
DOCUMENT NUMBER	DATE	COUNTRY	
BA			
BB			
BC			
BD			
BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)		
	CA	Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", <i>STENTS State of the Art Future Developments</i> , pages 59-62 (June 1995).
	CB	Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, <i>Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies</i> , pages 401-409 (March 7-10, 1994).
	CC	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b> 11/27/06
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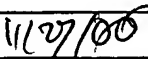
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				ATTY DOCKET NO.: S63.2B-6769-US		APPLICATION NO.: 09/197278	
				APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
				FILING DATE: November 20, 1998		GROUP: 3731	
REFERENCE DESIGNATION				U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
CB	AA	2002/0007212 A1	1/17/02	Brown et al.	623/1.16		
VB	AB	2002/0177893 A1	11/28/02	Brown et al.	623/1.16		
VB	AC	2001/0056298 A1	12/27/01	Brown et al.	623/1.16		
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	AE						
	AF						
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	AH						
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	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY			
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)							
	CA						
	CB						
	CC						
EXAMINER <i>gda</i>			DATE CONSIDERED <i>4/27/06</i>				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

UNPUBLISHED US APPLICATIONS		ATTY DOCKET NO.: S63.2B-6769-US		APPLICATION NO. 09/197278
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz		
		FILING DATE: November 20, 1998		GROUP: 3731
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY				
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)
<i>JB</i>	DA	08/396569	3/1/95	Brown
<i>JB</i>	DB	09/599674	6/22/00	Brown et al.
<i>JB</i>	DC	09/666866	9/20/00	Brown et al.
	DD			
	DE			
EXAMINER <i>JP</i>			DATE CONSIDERED <i>11/27/06</i>	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)			<b>ATTY DOCKET NO.:</b> S63.2-6769-US04		<b>APPLICATION NO.:</b> Not yet assigned	
			<b>APPLICANT:</b> Brown et al.			
			<b>FILING DATE:</b> Concurrently herewith		<b>GROUP:</b> Not yet assigned	
<b>REFERENCE DESIGNATION</b>			<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
UB	AA	5,091,211	2/25/211	Richard	427/44	
	AB	5,356,423	10/18/94	Tihon et al.	606/194	
	AC	5,411,552	5/2/95	Andersen et al.	623/2	
	AD	5,649,952	7/22/97	Lam	606/198	
	AE	5,707,386	1/13/98	Schnepp-Pesch et al.	606/194	
	AF	5,716,365	2/10/98	Goicoechea et al.	606/108	
	AG	5,855,597	1/5/99	Jayaraman	623/1	
	AH	5,855,600	1/5/99	Alt	623/1	
	AI	5,868,780	2/9/99	Lashinski et al.	606/198	
	AJ	5,876,432	3/2/99	Lau et al.	623/1	
	AK	5,895,407	4/20/99	Jayaraman	606/198	
	AL	5,913,895	6/22/99	Burpee et al.	623/1	
	AM	5,925,061	7/20/99	Ogi et al.	606/198	
	AN	6,053,941	4/25/00	Lindenberg et al.	623/1	
	AO	6,056,775	5/2/00	Borghi et al.	623/1.16	
	AP	6,183,506	2/6/01	Penn et al.	623/1.15	
	AQ	6,340,366	1/22/02	Wijay	623/1.13	
	AR	6,348,065	2/19/02	Brown et al.	623/1.16	
	AS	6,355,057	3/12/02	Demarais et al.	623/1.15	
	AT	6,395,020	5/28/02	Lay et al.	623/1.15	
	AU	6,533,808	3/18/03	Thompson	623/1.15	
	AV	6,540,775	4/1/03	Fischell et al.	623/1.15	
UB	AW	6,613,081	9/2/03	Kim et al.	623/1.15	
	AX					
	AY					
	AZ					
<b>EXAMINER</b> <i>CM</i>			<b>DATE CONSIDERED</b> <i>11/27/06</i>			
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						



<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		ATTY DOCKET NO.: S63.2-6769-US04		APPLICATION NO.: Not yet assigned
		APPLICANT: Brown et al.		
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned
<b>FOREIGN PATENT DOCUMENTS</b>				
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>
13	BA	99/44535	9/10/99	WO
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EXAMINER		DATE CONSIDERED		
				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: S63.2-6769-US04		APPLICATION NO. Not yet assigned	
		APPLICANT: Brown et al.			
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
<i>DA</i>	DA				
	DB				
	DC				
	DD				
	DE				
EXAMINER				DATE CONSIDERED	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: S63.2-6769-US04		APPLICATION NO. Not yet assigned		
		APPLICANT: Brown et al.				
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned		
US APPLICATIONS REALTED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
<i>EA</i>	EA	09/197,278	11/20/98		Brown et al.	X
<i>EB</i>	EB	08/511,076	8/3/95		Brown et al.	X
<i>EC</i>	EC	08/396,569	3/1/95		Brown et al.	X
	ED					
	EE					
	EF					
EXAMINER <i>Ma</i>				DATE CONSIDERED <i>11/27/06</i>		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						

<b>Notice of References Cited</b>	Application/Control No. 09/197,278	Applicant(s)/Patent Under Reexamination BROWN ET AL.	
	Examiner Vy Q. Bui	Art Unit 3731	Page 1 of 1

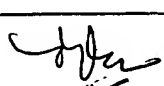
**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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	B	US-			
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	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	DE 29708879U1	09-1997	Germany		A61F 2/04
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	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	 4/27/06

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-6769		<b>APPLICATION NO.:</b> 09/197,278	
				<b>APPLICANT:</b> Brian J. Brown et al.			
				<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
15	AA	6,068,656	5/30/00	Von Oepen	623/1.17	
15	AB	6,129,755	10/10/00	Mathis et al.	623/1.15	
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FOREIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
15	CA		SMART <sup>TM</sup> Stere Brochure, Cordis, a Johnson & Johnson company, date unknown.
	CB		
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<b>EXAMINER</b>	<b>DATE CONSIDERED</b> 11/27/06
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-6769		<b>SERIAL NO.:</b> 09/197,278	
				<b>APPLICANT:</b> Brian J. Brown et al.			
				<b>FILING DATE:</b> November 20, 2000		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
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✓	AA	5,836,966	11/17/98	St. Germain	606	198	
	AB	5,938,697	8/17/99	Killion et al.	623	I	
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RELATED APPLICATIONS					
		APPLICATION NO.	FILING DATE	INVENTOR(S)	RELATED BY
					SUBJECT      PRIORITY (\$120)
	BA				
	BB				

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
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<b>EXAMINER</b> <i>gjk</i>	<b>DATE CONSIDERED</b> 11/27/06
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Notice of References Cited</b>			Application No. 09/197,278		Applicant(s) Brown et al.	
			Examiner Lien Ngo		Group Art Unit 3731	
Page 1 of 1						


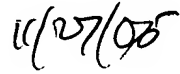
  

U.S. PATENT DOCUMENTS						
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A	5,800,521	9/1998	Orth	623	1	
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FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
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NON-PATENT DOCUMENTS	
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
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**FORM PTO-1449 (Modified)**  
**LIST OF PATENTS AND PUBLICATIONS**  
**FOR APPLICANT'S**  
**INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

SERIAL NO.: 09/197,278

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

GROUP: 3731

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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✓	BD	0 876 806 A1	11/11/98	EP			
	BE						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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CC	
EXAMINER	DATE CONSIDERED 11/27/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

SERIAL NO.: 09/197,278

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

GROUP: 3738

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>U</i>	AA	5,911,754	06/15/99	Kanesaka et al.	623	1	
<i>U</i>	AB	5,922,021	07/13/99	Jang	623	1	
<i>U</i>	AC	5,948,016	09/07/99	Jang	623	1	
<i>U</i>	AD	5,954,743	09/21/99	Jang	606	198	
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## FOREIGN PATENT DOCUMENTS

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	CB		
	CC		

EXAMINER *Sty*DATE CONSIDERED *11/27/06*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-6769		<b>SERIAL NO.:</b> 09/197,278	
				<b>APPLICANT:</b> Brian J. Brown et al.			
				<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3738	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
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	AC						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
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<i>UJ</i>	BA	298 16 878 U1	12/24/98	DE			(Abstract)
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	BC						
	BD						
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	CA	
	CB	
	CC	

<b>EXAMINER</b> <i>Oler</i>	<b>DATE CONSIDERED</b> <i>1/27/06</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO:</b> S63.2-6769		<b>Application No:</b> 09/197,278	
<b>APPLICANT:</b> Brian J. Brown et al.				<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3738	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
✓	AA	5,591,197	1/7/97	Orth et al.	606	198	
✓	AB	5,697,971	12/16/97	Fischell et al.	623	1	
✓	AG	5,718,713	2/17/98	Frantzen	606	198	
✓	AD	5,735,893	4/7/98	Lau et al.	623	1	
✓	AE	5,741,327	4/21/98	Frantzen	623	1	
✓	AF	5,755,781	5/26/98	Al-Saadon	623	1	
✓	AG	5,755,781	5/26/98	Jayaraman	623	1	
✓	AH	5,776,161	7/7/98	Globerman	606	194	
✓	AI	5,776,183	7/7/98	Kanesaka et al.	623	1	
✓	AJ	5,807,404	9/15/98	Richter	623	1	
✓	AK	5,810,872	9/22/98	Kanesaka et al.	606	198	
✓	AL	5,824,059	10/20/98	Wijay	623	1	

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✓ BB	297 02 671 U1	4/10/97	DE				
✓ BC	297 08 689 U1	7/17/97	DE				
✓ BD	297 08 879 U1	7/31/97	DE				
✓ BE	0 821 920 A1	2/4/98	EP				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
✓ CA	Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).
✓ CB	Beyar et al, "Newer Stents: Materials and Designs", <i>LAGS Proceedings</i> , 9(5): 363-371 (June 1997).
✓ CC	Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).
✓ CD	Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).

<b>EXAMINER</b>	<b>DATE CONSIDERED</b> 11/27/98
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO:</b> S63.2-6769		<b>Application No:</b> NA		
				<b>APPLICANT:</b> Brian J. Brown et al.				
				<b>FILING DATE:</b> November 20, 1998		<b>Group:</b>		
<b>REFERENCE DESIGNATION</b>								
<b>FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>								
EXAM'S INIT.		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
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✓	BI	97/32543	9/12/97	WO				
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✓	BK	97/33534	9/18/97	WO				
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<b>EXAMINER</b> <i>11/6</i>				<b>DATE CONSIDERED</b> <i>11/27/98</i>				
<small>EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								